SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Heng Jun Hong						2. Issuer Name and Ticker or Trading Symbol <u>Luminar Technologies, Inc./DE</u> [LAZR]									ationship of F all applicab Director	,		(s) to Issuer 10% Ov	vner
(Last)	(First)	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 12/03/2021									Officer (g below)	ive title		Other (s below)	specify
C/O LUMINAR TECHNOLOGIES, INC. 2603 DISCOVERY DRIVE, SUITE 100						4. If Amendment, Date of Original Filed (Month/Day/Year) 12/06/2021									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) ORLANDO (City)	FL (State)	32 (Zi	826												Form file	d by More	than C	One Reportin	ig Person
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date						th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		ction nstr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				d 5) Securities Beneficial Following Transactio		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(Instr. 4)
Class A common stock 12/03					03/2021				G	v	1,603,95	55(1)	Α	\$0.00	\$1,603,955(1)			Ι	By Press Room LLC ⁽¹⁾
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date,			4. Transaction Code (Instr. 8)		Derivat Securit Acquire or Disp	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Exercition Da	te Sec ear) Deri		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Amount or		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exerci	sable	Expiration Date	Title		Number of Shares					

Explanation of Responses:

1. On December 3, 2021, 1,603,955 shares of the Class A common stock of the Issuer were transferred by an entity owned and controlled by the reporting person's parents to Press Room LLC, an entity managed by Mr. Heng. Mr. Heng may be deemed to hold voting and dispositive power over the shares held by Press Room LLC. Mr. Heng disclaims beneficial ownership of the shares held by Press Room LLC. On December 6, 2021, the reporting person filed a Form 4 which inadvertently reported the number of shares which were transferred as 1,599,427 when the correct number of shares transferred was 1,603,955.

<u>/s/ Jun Hong Heng</u>	02/16/2022
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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