SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL								
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0.5

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1. Name and Address of Reporting Person* Russell Austin				r Name <b>and</b> Ticker <u>nar Technolo</u>					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) (First) (Middle) C/O LUMINAR TECHNOLOGIES, INC.				3. Date of Earliest Transaction (Month/Day/Year) 09/15/2022						Officer (give title below)		(specify		
2603 DISCOVERY DRIVE, SUITE 100			4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)									Form filed by More than One Reporting Person					
ORLANDO	FL	32826								Form lifed by More	than One Report	ng Person		
(City)	(State)	(Zip)												
		Table I - No	on-Derivative	Securities Acc	quired,	Dis	posed of, o	r Bene	ficially Ow	ned		_		
1. Title of Security	(Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Disposing Of (D) (Instr. 3, 4 and 5)   7. 8)			λ) or Disposed	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
				Code V Amount (A) or Pri					Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 3. Transaction 3A. Deemed 5. Number of 7. Title and Amount of Securities Underlying 8. Price of 9. Number of 2. Conversion Date Execution Date Transaction Derivative Expiration Date Derivative derivative (Month/Day/Year) Security (Instr. 3) or Exercise (Month/Dav/Year) l if anv Code (Instr. Securities **Derivative Security** Securities Security

	Price of Derivative Security	(Month/Day/Year)	8)		Acquire or Disp (D) (Ins and 5)	osed of			(Instr. 3 and 4	1)	(Instr. 5)	Beneficially Owned Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date		Amount or Number of Shares		(Instr. 4)			

**P**<sup>(1)</sup>

25,000

## Explanation of Responses:

Class A Common Stock

1. The shares of the Issuer's Class A Common Stock were purchased by the Reporting Person pursuant to a certain Stock Purchase Plan, entered into as of June 21, 2022, between the Reporting Person and his broker in compliance with the provisions of Rule 10b5-1 under the Securities Exchange Act of 1934.

2. The range of prices for the shares of Class A Common Stock is from \$9.37 to \$9.40. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities purchased at each separate price.

## Remarks:

1. Title of

Derivative

/s/ Thomas Fennimore, as
Attorney-in-Fact for Austin
Russell

09/15/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

09/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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10.

Form:

Ownership

11. Nature

of Indirect

Beneficial

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