FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL							
OMB Number:	3235-0287						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Prescott Alan					2. Issuer Name and Ticker or Trading Symbol     Luminar Technologies, Inc./DE [ LAZR ]      3. Date of Earliest Transaction (Month/Day/Year)										all applicab Director	le)	Person(s) to Issuer  10% Owner			
(Last)	(First)	`	ddle)		11/11/2021								X	Officer (g below)	ive title Other (s below) Chief Legal Officer		specify			
C/O LUMINAR TECHNOLOGIES, INC. 2603 DISCOVERY DRIVE, SUITE 100					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) ORLANDO	FL	32	826													n filed by One Reporting Person n filed by More than One Reportin			g Person	
(City)	(State)	(Zi <sub>l</sub>	0)																	
ı		Та	ble I - Noı	n-Deri	ivativ	e Se	curitie	s Acq	uired,	Disp	osed of,	or I	Benefi	cially Ow	/ned					
Date					nsaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(111501.4)	
Class A Common Stock 11/1					11/2021				A		353,000	<b>)</b> (1)	Α	\$0.00	1,065	5,487		D		
Class A Common Stock 11/1					11/2021				A		370,000(2)		A	\$0.00	1,435,487		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
Derivative Conversion Date Execut Security (Instr. 3) Or Exercise (Month/Day/Year) if any		3A. Deemed Execution Da if any (Month/Day/	ate,	4. Transaci Code (In 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		te Securities Under		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
Explanation of Re				Code	v	(A) (D)		Date Exercis	able	Expiration Date	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)					

- 1. Represents shares of Class A common stock underlying a time-based restricted stock unit award ("RSU"). Subject to the continuous service of the Reporting Person on each vesting date, the RSU will vest as follows: 3/16th of the total shares underlying the RSU will vest on January 26, 2022, and thereafter, 1/16th of the total shares underlying the RSU will vest on each quarterly anniversary thereof until the RSU is fully vested.
- 2. Represents shares of Class A common stock underlying an RSU. Subject to the continuous service of the Reporting Person on each vesting date, the RSU will vest as follows: 10% of the total shares underlying the RSU will vest on November 11 of each of 2022 and 2023, respectively; an additional 15% of the total shares underlying the RSU will vest on November 11 of each of 2024 and 2025, respectively; an additional 20% of the total shares underlying the RSU will vest on November 11, 2026; and the remaining 30% of the total shares underlying the RSU will vest on November 11, 2027.

## Remarks:

/s/ Alan Prescott

11/15/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.