SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Faris M. Scott	s of Reporting Person	,*		Name and Ticker on Ticker of the technology of technology	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O LUMINAR TECHNOLOGIES, INC.				f Earliest Transacti 021	on (Month/Day	Year)	х			specify		
2603 DISCOVER	RY DRIVE, SUITE	E 100	4. If Ame	ndment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)							X	Form filed by One I Form filed by More		ng Person		
ORLANDO	FL	32826								0		
(City)	(State)	(Zip)										
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

		(Month/Day/Year) 8)						(Instr. 4)	Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	07/23/2021		A		204,918(1)	A	\$0.00	1,022,774	D	
Ta	able II - Derivative Se (e.g., puts, ca							ed		

	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		Derivative				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Represents shares of Class A common stock underlying a time-based restricted stock unit award ("RSU"). Subject to the continuous service of the Reporting Person on each vesting date, the RSU will vest as follows: 10% of the total shares underlying the RSU will vest on January 1 of each of 2022 and 2023, respectively; an additional 15% of the total shares underlying the RSU will vest on January 1 of each of 2024 and 2025, respectively; an additional 20% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaining 30% of the total shares underlying the RSU will vest on January 1, 2026; and the remaini

Remarks:

/s/ Anthony Cooke, as Attorney-07/26/2021 in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.