SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Maguire Shaun</u>						2. Issuer Name and Ticker or Trading Symbol <u>Luminar Technologies, Inc./DE</u> [LAZR]									ationship of F all applicab Director		Person	s) to Issuer) 10% Ov	
(Last)	(First)	```	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 06/10/2021									Officer (g below)	ive title		Other (s below)		
C/O LUMINAR TECHNOLOGIES, INC. 2603 DISCOVERY DRIVE, SUITE 100					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) ORLANDO FL 32826 (City) (State) (Zip)															Form file	d by More	than C	ne Reportin	g Person
		Та	able I - No	n-Der	ivativ	e Se	curitie	s Acq	uired,	Disp	osed of,	, or B	Benefi	cially Ov	vned				
Date							2A. Deemed Execution Date, if any (Month/Day/Year)					es Acquired (A) or Of (D) (Instr. 3, 4 and 5)			5. Amount Securities Beneficial Following	y Owned Reported	Form	mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(instr. 4)
Class A Common Stock 06/1					0/10/2021				Α		18,234	(1)	Α	\$0.00	18,234			D	
			Table II -								sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Ye		e Secu ear) Deri		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercis	able	Expiration or			Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

1. Represents shares of Class A common stock underlying a time-based restricted stock unit award ("RSU"). The RSU will vest in three equal annual installments on the first, second and third anniversaries of June 10, 2021, subject to the Reporting Person's continued service as a member of the Board of Directors through each vesting date.

(D)

Remarks:

/s/ Alan Prescott, as Attorney-in-06/14/2021 Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code lv. (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.