SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Simoncini Matthew						2. Issuer Name and Ticker or Trading Symbol Luminar Technologies, Inc./DE [ LAZR ]									ationship of f all applicat Director		Person(	s) to Issuer) 10% O\	
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 06/09/2021									Officer (g below)	jive title		Other ( below)	specify	
C/O LUMINAR TECHNOLOGIES, INC. 2603 DISCOVERY DRIVE, SUITE 100						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi					
(Street)															Form file	d by More	than O	ne Reportir	g Person
ORLANDO FL 32826																			
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Dei	ivativ	e Se	ecuritie	s Acq	uired, I	Disp	osed of,	, or	Benefi	cially Ov	vned				
Date					Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Class A Common Stock 06/0						6/09/2021			Α		9,106(1)		Α	\$0.00	9,106			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	e Conversion Date Execution Date,		ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			Sec Deri	itle and A urities Un vative Se tr. 3 and 4	derlying curity !)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti	e Owne s Form lly Direct or Ind g (I) (Ins	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	1					1	1		1			1		Amount		(Instr. 4)			1

#### Explanation of Responses:

1. Represents shares of Class A common stock underlying a time-based restricted stock unit award ("RSU"). The RSU will vest in full on the first to occur of (x) the one-year anniversary of the grant date and (y) the date of the Issuer's next annual meeting of stockholders, subject to the Reporting Person's continuous service as a member of the Board of Directors through such vesting date.

(D)

Date

Exercisable

Expiration

Title

Date

## Remarks:

### /s/ Anthony Cooke, as Attorneyin-Fact 06/11/2021

Date

\*\* Signature of Reporting Person

or Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.