

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>AEG Holdings, LLC</u>  (Last) (First) (Middle) <u>9800 WILSHIRE BLVD.</u>  (Street) <u>BEVERLY HILLS CA 90212</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Luminar Technologies, Inc./DE [ LAZR ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) <u>01/20/2022</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock, par value \$0.0001 per share	01/20/2022		M		1,386,325	A	\$11.5	5,570,280	I	See Footnotes <sup>(1)(2)</sup>
Class A Common Stock, par value \$0.0001 per share	01/20/2022		F		985,885	D	\$16.17 <sup>(3)</sup>	4,584,395	I	See Footnotes <sup>(1)(2)</sup>
Class A Common Stock, par value \$0.0001 per share								12,692	D	
Class A Common Stock, par value \$0.0001 per share								152,534	I	See Footnotes <sup>(2)(4)</sup>
Class A Common Stock, par value \$0.0001 per share								248,145	I	See Footnotes <sup>(2)(5)</sup>
Class A Common Stock, par value \$0.0001 per share								150,000	I	See Footnotes <sup>(2)(6)</sup>

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Warrants to Purchase Shares of Class A Common Stock	\$11.5	01/20/2022		M		1,386,325		01/02/2021	12/02/2025	Class A Common Stock, par value \$0.0001 per share	1,386,325	\$0.00	0	I	See Footnotes <sup>(1)(2)</sup>

1. Name and Address of Reporting Person \*

AEG Holdings, LLC

(Last) (First) (Middle)

9800 WILSHIRE BLVD.

(Street)

BEVERLY HILLS CA 90212

(City) (State) (Zip)

1. Name and Address of Reporting Person \*

[Gores Alec E](#)

(Last) (First) (Middle)

6260 LOOKOUT ROAD

(Street)

BOULDER CO 80301

(City) (State) (Zip)

**Explanation of Responses:**

1. The securities reported in this transaction are held of record by AEG Holdings, LLC ("AEG" and, together with Alec Gores, the "Reporting Persons"). Alec Gores is the managing member of AEG. As such, Alec Gores may be deemed to have beneficial ownership of the securities beneficially owned by AEG.

2. Because of the relationship among the Reporting Persons, the Reporting Persons may be deemed to beneficially own the securities reported herein to the extent of their respective pecuniary interests. Each Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein, if any. Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be deemed an admission that the Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owners of any equity securities in excess of their respective pecuniary interests.

3. AEG exercised 1,386,325 warrants to purchase shares of Class A common stock of Luminar Technologies, Inc. (formerly known as Gores Metropoulos, Inc., the "Issuer") on a cashless basis. Pursuant to Section 3.3.1(c) of the Warrant Agreement, dated as of January 31, 2019, by and between the Issuer and Continental Stock Transfer & Trust Company (the "Warrant Agreement"), the number of shares of Class A common stock issuable upon exercise of the warrants was determined based upon the "Fair Market Value" (as defined in the Warrant Agreement) of the shares of Class A common stock. The "Fair Market Value" is defined as the average last sale price of the shares of Class A common stock for the ten (10) trading days ending on the third trading day prior to the date on which AEG exercised the warrants.

4. The securities are held of record by the NBI Irrevocable Trust No. 4, a trust of which the beneficiary is one of the children of Mr. Gores who is a member of his household.

5. The securities are held of record by the NBI Irrevocable Trust No. 5, a trust of which the beneficiary is one of the children of Mr. Gores who is a member of his household.

6. The securities are held of record by the NBI Irrevocable Trust No. 6, a trust of which the beneficiary is one of the children of Mr. Gores who is a member of his household.

**Remarks:**

[AEG HOLDINGS, LLC, By: /s/  
Andrew McBride, Attorney-in-  
Fact](#) [02/01/2022](#)

[ALEC GORES, By: /s/ Andrew  
McBride, Attorney-in-Fact](#) [02/01/2022](#)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**