

| OMB APPROVAL                                 |           |
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| OMB Number:                                  | 3235-0287 |
| Estimated average burden hours per response: | 0.5       |

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

|   |  |   |
|---|--|---|
| 1. Name and Address of Reporting Person *<br><u>AEG Holdings, LLC</u><br><br>(Last) (First) (Middle)<br><u>9800 WILSHIRE BLVD.</u><br><br>(Street)<br><u>BEVERLY HILLS CA 90212</u><br><br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><u>Luminar Technologies, Inc./DE [ LAZR ]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><input checked="" type="checkbox"/> Director 10% Owner<br><br>Officer (give title below) Other (specify below) |
|   | 3. Date of Earliest Transaction (Month/Day/Year)<br><u>12/16/2022</u>                        |   |
|   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                     | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>Form filed by One Reporting Person<br><input checked="" type="checkbox"/> Form filed by More than One Reporting Person     |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |                         | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------------------------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price                   |   |  |   |
| Class A Common Stock            | 12/16/2022                           |  | P                              |   | 7,953 <sup>(1)</sup>  | A          | \$6.6528 <sup>(2)</sup> | 39,569  | D  |   |
| Class A Common Stock            |                                      |  |                                |   |   |            |                         | 4,584,395   | I  | See Footnotes <sup>(3)(4)</sup>                       |
| Class A Common Stock            |                                      |  |                                |   |   |            |                         | 152,534   | I  | See Footnotes <sup>(4)(5)</sup>                       |
| Class A Common Stock            |                                      |  |                                |   |   |            |                         | 248,145   | I  | See Footnotes <sup>(4)(6)</sup>                       |
| Class A Common Stock            |                                      |  |                                |   |   |            |                         | 150,000   | I  | See Footnotes <sup>(4)(7)</sup>                       |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
|  |  |                                      |  | Code                           | V | (A)  | (D) | Date Exercisable   | Expiration Date |   |  |  |   |  |

|   |
|---|
| 1. Name and Address of Reporting Person *<br><u>AEG Holdings, LLC</u><br><br>(Last) (First) (Middle)<br><u>9800 WILSHIRE BLVD.</u><br><br>(Street)<br><u>BEVERLY HILLS CA 90212</u><br><br>(City) (State) (Zip)   |
| 1. Name and Address of Reporting Person *<br><u>Gores Alec E</u><br><br>(Last) (First) (Middle)<br><u>C/O LUMINAR TECHNOLOGIES, INC.</u><br><u>2603 DISCOVERY DRIVE, SUITE 100</u><br><br>(Street)<br><u>ORLANDO FL 32826</u><br><br>(City) (State) (Zip) |

**Explanation of Responses:**

1. Represents shares of Class A Common Stock of the Issuer purchased by Alec Gores.

2. The range of prices for the shares of Class A Common Stock is from \$6.65 to \$6.655. The Reporting Persons (as defined below) undertake that they will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities purchased at each separate price.
3. Held of record by AEG Holdings, LLC ("AEG" and, together with Alec Gores, the "Reporting Persons"). Alec Gores is the managing member of AEG. As such, Alec Gores may be deemed to have beneficial ownership of the securities beneficially owned by AEG.
4. Because of the relationship among the Reporting Persons, the Reporting Persons may be deemed to beneficially own the securities reported herein to the extent of their respective pecuniary interests. Each Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein, if any. Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be deemed an admission that the Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owners of any equity securities in excess of their respective pecuniary interests.
5. Held of record by Pacific Credit Corp. ("PCC"). Alec Gores is a member of and has dispositive powers for PCC. As such, Alec Gores may be deemed to have beneficial ownership of the securities beneficially owned by PCC.
6. The securities are held of record by the NBI Irrevocable Trust No. 5, a trust of which the beneficiary is one of the children of Mr. Gores who is a member of his household.
7. The securities are held of record by the NBI Irrevocable Trust No. 6, a trust of which the beneficiary is one of the children of Mr. Gores who is a member of his household.

**Remarks:**

|   |                   |
|---|-------------------|
| <u>AEG HOLDINGS, LLC, By: /s/<br/>Andrew McBride, Attorney-in-<br/>Fact</u> | <u>12/19/2022</u> |
| <u>ALEC GORES, By: /s/ Andrew<br/>McBride, Attorney-in-Fact</u>             | <u>12/19/2022</u> |
| ** Signature of Reporting Person  | Date              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**