SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Security

\$1.<mark>67</mark>

Stock Option

(right to buy)

Explanation of Responses:

Exchange Act of 1934, as amended.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Fennimore Thomas				Lum	2. Issuer Name and Ticker or Trading Symbol <u>Luminar Technologies, Inc./DE</u> [ LAZR ] 3. Date of Earliest Transaction (Month/Day/Year)						tionship of R all applicabl Director	Reporting Person( ble)		, 10% Ov		
(Last)	(Firs	t)	(Middle)	3. Date 12/02/		lion (ivionth/	Jay/Year)			X	Officer (gi below)	ve title		Other (s below)	specify	
C/O LUMINAR TECHNOLOGIES, INC.											Cł	ief Fina	ncial (	Officer		
2603 DISCOVERY DRIVE, SUITE 100				4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) ORLANDO	FL		32826							X			•	ng Person ne Reportin	g Person	
(City)	(Sta	te)	(Zip)													
			Tabla I Nar	Devision	0			<i>,</i> ,	D f							
			Table I - Non	I-Derivative	Securities Acc	Juirea, D	sposed	of, or I	Benefic	ally Ow	ned					
1. Title of Sec	urity (Instr. 3)			2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date,	3. Transacti Code (Ins	4. Secon Dispo	curities Ac	cquired (A) (Instr. 3, 4	or	5. Amount Securities Beneficially Following F	Owned Reported	Form:	nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership	
1. Title of Sec	urity (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Transacti Code (Ins	4. Secon Dispo	curities Ac	quired (A)	or	5. Amount Securities Beneficially	owned Reported n(s)	Form: or Ind	Direct (D) irect (I)	Indirect Beneficial	
1. Title of Sec	urity (Instr. 3)		Table II - D	2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any	3. Transacti Code (Ins 8) Code	4. Sec Dispo 7 Amou	curities Ac osed Of (D unt <b>f, or Be</b>	(A) or (D) eneficia	or 4 and 5) Price	5. Amount Securities Beneficially Following F Transaction (Instr. 3 and	owned Reported n(s)	Form: or Ind	Direct (D) irect (I)	Indirect Beneficial Ownership	

2. The option will vest and become exercisable as to 1/4th of the total number of shares on May 11, 2021, and thereafter will vest and become exercisable as to 1/4th of the total number of shares in equal monthly installments. Remarks:

1. On December 2, 2020, pursuant to that certain Agreement and Plan of Merger, dated as of August 24, 2020 (the "Merger Agreement"), by and among Gores Metropoulos, Inc. (the "Issuer"), Luminar Technologies, Inc., a Delaware corporation ("Legacy Luminar") and the other parties thereto, the Issuer completed its initial business combination (the "Business Combination"). As a result of the Business Combination, the Issuer changed its name to Luminar Technologies, Inc. In connection with the Business Combination and in accordance with the Merger Agreement, an option to purchase 135,000 shares of Class A Common Stock of Legacy Luminar held by the Reporting Person was converted into an option to purchase 1,840,176 shares of Class A Common Stock of the Issuer. The issuance of the option was approved by the Issuer's board of directors pursuant to Rule 16b-3 under the Securities

Date

Exercisable

(2)

(D)

Expiration

05/14/2030

Title

Class A

Stock

Com

Date

/s/ Thomas J. Fennimore \*\* Signature of Reporting Person 12/04/2020

Amount or

Number of

1,840,176

(1)

Shares

Date

Owned Following

Reported Transaction(s

(Instr. 4)

1,840,176

(I) (Instr. 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

12/02/2020

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v (A)

**A**<sup>(1)</sup>

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(Instr. 3, 4 and

1,840,176

OMB Number 3235-0287 Estimated average burder 0.5 hours per response:

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